

MUKESH AGARWAL & CO.

(COMPANY SECRETARIES)

3029, Sant Nagar, Rani Bagh, Opp. M2K Pitampura, Delhi-110034
Tel. No. : 011-42458279, 47060535 Email : magarwalandco@gmail.com

Ref. No.

Dated.....

SECRETARIAL COMPLIANCE REPORT OF FORTIS MALAR HOSPITALS LIMITED FOR THE YEAR ENDED 31STMARCH, 2022

[Pursuant to Circular CIR/CFD/CMD1/27/2019 dated February 8, 2019 as issued by SEBI]

We M/s Mukesh Agarwal & Co. have examined:

- a) all the documents and records made available to us and explanation provided by Fortis Malar Hospitals Limited ("the listed entity"),
- b) the filings/ submissions made by the listed entity to the stock exchanges,
- c) website of the listed entity,
- d) any other documents/ filings, as may be relevant, which has been relied upon to make this certification,

for the year ended 31stMarch, 2022 ("Review Period") in respect of compliance with the provisions of:-

(a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and

(b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- a) The Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b) The Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- c) The Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- d) The Securities and Exchange Board of India (Buy back of Securities) Regulations, 2018; (Not Applicable to the Company during the Audit Period)



- e) *The Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014 and the Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021;*
- f) *Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008: (Not Applicable to the Company during the Audit Period)*
- g) *Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013;(Not Applicable to the Company during the Audit Period)*
- h) *Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;*
- i) *and circulars / guidelines issued thereunder;*

and based upon the above examination, we hereby report that, during the Review Period:

- a) *The Listed entity has complied with the provision of the above Regulations and circular/ Guidelines issued thereunder, except in respect of matters specified below:-*

| Sr. No | Compliance Requirement (Regulations/ circulars / Guidelines including specific clause) | Deviations | Observations/ Remarks of the Practicing Company Secretary |
|---------------|---|-------------------|--|
| | | NONE | |

- b) *The Listed entity has maintained proper records under the provisions of the above Regulations and circulars/guidelines issued thereunder insofar as it appears from our examination of those records.*
- c) *The following are the details of actions taken against the Listed Entity/its promoters/Directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issue by SEBI through various circulars) under theaforesaid Acts/ Regulations and circulars/guidelines issued thereunder:*

| Sr. No | Action taken by | Details of violation | Details of action taken E.g. fines, warning letter, debarment, etc. | Observations/ Remarks of the Practicing Company Secretary, if Any. |
|---------------|------------------------|-----------------------------|--|---|
| | | | | None |



d) The Listed entity has taken the following actions to comply with the observations made in previous reports:

| Sr. No | Observations of the Practicing Company Secretary in the previous reports | Observations made in the secretarial compliance report for the year ended 31st march, 2021 | Action taken by the Listed entity, if any | Comments of the Practicing Company Secretary on the actions taken by the listed entity |
|---------------|---|--|--|---|
| | | None | | |

Place: Delhi
Date: 12.05.2022

for Mukesh Agarwal & Company



A handwritten signature in blue ink, appearing to read "M. Agarwal".

Mukesh Kumar Agarwal

M No-F5991

C P No.3851

UDIN: F005991D000309151